



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yi Ding
Assignee: Mosel Vitelic, Inc.
Title: Nonvolatile Memory Fabrication Methods Comprising Lateral Recessing of Dielectric Sidewalls at Substrate Isolation Regions
Application No.: 10/678,317 Filing Date: October 3, 2003
Examiner: Unknown Group Art Unit: 2812
Docket No.: M-15210 US

San Jose, California
April 23, 2004

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed except for the United States Patents and United States Published Patent Applications.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be material to patentability as defined in § 1.56(b).

No fee is believed to be required. If a fee is required for this Information Disclosure Statement, please charge the fee to Deposit Account No. 50-2257. This paper is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 23, 2004.

Michael Shenker 4-23-04
Attorney for Applicant(s) Date of Signature

Respectfully submitted,

Michael Shenker
Michael Shenker
Attorney for Applicant(s)
Reg. No. 34,250

U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.		Serial No.	
				M-15210 US		10/678,317	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Applicant(s)			
				Yi Ding			
				Filing Date		Group	
				October 3, 2003		2812	

U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	6,376,877	April 2002	Yu et al.			
	AB	6,448,606	Sept. 2002	Yu et al.			
	AC	6,417,047	July 2002	Isobe			
	AD	6,555,427	April 2003	Shimizu			
	AE						
	AF						
	AG						
	AH						
	AI						

Foreign Patent Documents							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AK							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
AL	
AM	
AN	
AO	
AP	
AQ	

Examiner	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.